



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/604,702	06/23/2000	Tomoyuki Furuhashi	15.14/5048

Konrad Raynes & Victor LLP  
1180 South Beverly Drive Suite 501  
Los Angeles, CA 90035

## FORMALITIES LETTER



\*OC000000005418564\*

Date Mailed: 09/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/21/2000 HHARMOL 00000027 09604702

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SECTOR  
PATENT  
15.14/5048

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FURUHATA *et al.*

Serial No: 09/604,702

Filed: June 23, 2000

For: SEMICONDUCTOR DEVICES HAVING A  
NON-VOLATILE MEMORY TRANSISTOR

) Art Unit: not assigned

) Examiner: not assigned

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington D.C. 20231, on

December 15, 2000  
Date of Deposit

Alan S. Raynes  
Name

Alan S. Raynes 12/15/00  
Signature Date

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated September 22, 2000, response to which is due December 22, 2000 with a one-month extension of time (petition for which is attached hereto), enclosed are the following:

- X A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- X A "Declaration & Power of Attorney" executed by the inventors.
- A check in the amount of \$ in payment of the filing fee and excess claims fees.
- X A check in the amount of \$130 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any additional amounts due in connection with this matter to our Deposit Account No. 50-0585. A copy of this letter is enclosed.

Respectfully submitted,

KONRAD RAYNES & VICTOR LLP

Date: December 15, 2000

By: Alan S. Raynes  
Alan S. Raynes  
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Attorney for Applicant(s)

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